

**2016 Comprehensive Review of the status of implementation of resolution 1540**  
**Modalities Paper**

**Scope**

1. In accordance with operative paragraph 3 of resolution 1977 (2011) the Security Council decided:

“... that the 1540 Committee will conduct a comprehensive review on the status of implementation of resolution 1540 (2004), both after five years and prior to the renewal of its mandate, including, if necessary, recommendations on adjustments to the mandate, and will submit to the Security Council a report on the conclusions of those reviews, and *decides* that, accordingly, the first review should be held before December 2016”.

2. The 2016 Comprehensive Review (hereafter referred to as the “Review”), should be both retrospective and forward-looking. It should draw on an analysis of implementation of resolution 1540 (2004) since the 2009 Review, with a view to improving the implementation of the resolution by Member States, by identifying and recommending specific, practical and appropriate actions to this end, and to analyse the operation of the Committee in the conduct of its tasks and recommend any changes considered necessary. Any recommendation should take full account of the rapidly changing scientific, technological and commercial environment in which the implementation of resolution 1540 is taking place.

**Methodology**

*Oversight*

3. Under the leadership of the Chair, the Committee will oversee the conduct of the Review using its current structure, adjusted as needed for particular elements of the review process.

*Final Report*

4. The final report should be based on information at the disposal of the Committee including through its approved matrices and relevant information and inputs from Member States and related intergovernmental and regional and sub-regional organisations. The report should be analytical in nature, identify those gaps in the implementation of the resolution, and focus on identified themes and, where appropriate, make specific recommendations.

**Themes**

5. The Committee will pursue the themes to form strands of work for its four Working Groups as follows:

a. Working Group 1:

i. Using currently available data make an analysis of the status of implementation of the resolution by States including identifying the key trends in implementation since the 2009 Review, including identifying gaps in implementation and, as far as practicable, identify the reasons;

- ii. Identify shortcomings in the current system of data collection, storage, retrieval, presentation and analysis including in reporting by States and sharing of effective practices, and identify possible new approaches, including improving use of information collected by relevant international and regional organizations. Based on the results of these analyses, recommend ways to enhance the capacity to maintain, update, retrieve, present and analyse the data, including identifying the core data needed to assess implementation of resolution 1540;
- iii. Drawing on experience with direct interactions with States, recommend appropriate ways to intensify and promote these interactions.

b. Working Group 2:

- i. Drawing on the experience in operating the 1540 assistance mechanism since 2009, analyse the Committee's role in facilitating "match-making" and recommend improvements to bring about the prompt delivery of assistance;
- ii. Seek ways to support States to better understand the assistance mechanism to identify needs and priorities, to prepare well-developed assistance requests and to enhance collaboration with potential providers on an individual or, possibly, a regional basis.

c. Working Group 3:

- i. Analyse the experience of the Committee's collaboration with international and regional organisations since 2009;
- ii. Based on the analysis, seek improved ways of enhancing the collaboration of the Committee with directly related international, regional and subregional organisations, and other UN bodies;
- iii. Also, with regard to regional organisations, identify better methods for their support for building networks of 1540 Points of Contact, encouraging reporting to the Committee and developing opportunities for the Committee's direct interactions with States.

d. Working Group 4:

- i. Examine the experience since 2009 of the Committee's outreach to States and civil society including academia, industry, professional associations and parliamentarians;
- ii. Recommend how best to improve outreach to these sectors including through publications and electronic means and, as appropriate, the use of social media and building a 1540 network including, in an appropriate way, civil society;
- iii. Identify ways to improve feedback to the Committee from civil society and industry on implementation of the resolution, including on effective practices of public-private partnerships to strengthen national implementation.

6. The outcome of the consideration of the four themes will require an examination of the current structure and methods for supporting the 1540 Committee in the execution of its tasks and to recommend any improvements needed flowing from the analyses. As foreseen in operative paragraph 3 of resolution 1977 (2011), the Committee will also need to consider if any adjustments to its mandate are necessary. In this context, and where relevant, the Committee will consider and recommend improvements needed to the organisational arrangements that support the 1540 Committee in its oversight of the implementation of the resolution.

7. The Working Groups early on in their deliberations, under the guidance of their respective Coordinators, should consider how best to engage Member States, international organisations and civil society in the consideration of their respective themes. If workshops are considered necessary early decisions are required on where and when these will be needed as well as any necessary coordination between the Working Groups in this regard. A programme of work for each Working Group should be developed and approved by the Committee in accordance with the schedule below.

8. As the Review proceeds a schedule of outreach events should be developed to engage Member States, international, regional and sub-regional organisations and civil society. The schedule should take account of existing planned events as well as any dedicated ones the Committee considers necessary drawing on the advice of the Working Group Coordinators.

9. The requirement to deliver the report on the Comprehensive Review to the Security Council no later than 30 November 2016 means that the first draft of the report should be under consideration by the Committee by 1 September 2016. At a minimum, the report should include key points identified through the mechanisms noted in paragraphs 5-8.

### **Schedule**

10. The Review will be conducted in accordance with the following schedule:
  - a. By 12 June 2015 the work plans for each of the themes will be submitted to the Committee for approval;
  - b. By 30 June 2015 a detailed work plan is agreed by the Committee for each of the theme areas including a plan for workshops and any other outreach activities to Member States, international organisations and civil society considered necessary;
  - c. In June 2016 conduct a formal open review meeting of the Committee with Member States (in New York) as well as meetings with international organisations and appropriate sectors of civil society;
  - d. By 1 September 2016 the first draft of the report on the Review is ready for consideration by the Committee;
  - e. By 31 October 2016 the report on the Review is ready for submission to the Security Council.

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